

# Brokerage Trustee Powers



✓ **Use this form to:**

- Certify trustee(s) power to engage in margin and/or options services in a trust account.

✗ **Do not use this form to:**

- Certify a trust when adding or changing trustees on an already existing trust account. Use the [Trustee Certification](#) form.

**Mail to:**

T. Rowe Price  
P.O. Box 17435  
Baltimore, MD 21297-1435

**Express delivery only:**

T. Rowe Price Mail Code 17435  
4515 Painters Mill Road  
Owings Mills, MD 21117-4903

- This paper clip indicates you may need to attach documentation.
- This hand indicates where to sign.

## 1 Trust Information

Attach a copy of the trust document signature page and the pages that identify the name, date, and the trustees of the trust, including each trustee's authority to act. When a grantor has relinquished all dominion and control, all trust pages will be required.

Name of Trust	
Tax Identification Number	Date of Trust (mm/dd/yyyy)

Is the trust beneficiary a minor?  Yes  No

If yes, provide the trustee beneficiary information below. Trusts where the beneficiary is not of legal age are prohibited from opening margin accounts and option trading that requires a margin account.

Trust Beneficiary	Date of Birth (mm/dd/yyyy)
Trust Beneficiary	Date of Birth (mm/dd/yyyy)

## 2 Trustee Information

Trustee Name		
Social Security Number (SSN)	Date of Birth (mm/dd/yyyy)	
Residential Street Address (cannot be a P.O. box)		
City	State	ZIP Code

Co-trustee Name		
SSN	Date of Birth (mm/dd/yyyy)	
Residential Street Address (cannot be a P.O. box)		
City	State	ZIP Code

For more trustees, check this box and attach a [separate page](#).

## 3 Transaction Authority

We certify that we have the power under the trust agreement and applicable law to:

- Engage in the purchase and sale of listed put and call options of the following nature (a [Brokerage Options](#) form must be submitted).

**Check all that apply:**

- Covered call writing
- Purchasing puts and calls (equity or index)
- Creating spreads, long straddles, and combinations\*\*
- Uncovered writing and short straddles\*\*

\*\*NOTE: These strategies require a margin account.

- Engage in these transactions. **Check if applicable:**

- Borrowing money to purchase or carry securities in a margin account, including short sales of securities (a [Brokerage Margin](#) form must also be submitted).

## 4 Signature(s)

By signing this form, we certify that:

- All trustees have signed this agreement.
- There are no restrictions or limitations on our powers under the trust agreement ("Trust") or applicable law to enter into the securities transactions listed in Section 3 of this agreement.
- We, the trustees, jointly and severally, indemnify Pershing LLC and T. Rowe Price Investment Services, Inc. ("T. Rowe Price"), and hold Pershing LLC and T. Rowe Price harmless from any liability for effecting securities transactions of the types specified in Section 3, including, where applicable, transactions executed via debit card or checkwriting, should Pershing LLC and T. Rowe Price act pursuant to the instructions given by, or reasonably believed to be given by, any individual(s) authorized to act on behalf of the Trust.
- We, the trustees, authorize T. Rowe Price to obtain consumer credit reports (which contain information including creditworthiness, credit standing, and credit capacity) and other information to help verify my/our identity and to determine whether to establish this account or, after this account is opened, whether to maintain the account or restrict certain services.
- We, the trustees, agree to inform T. Rowe Price, in writing, of any amendment to the Trust or the trust agreement, any change in the composition of the trustees, or any other event that could alter the representations made in this agreement.
- Under the powers of the trust agreement and applicable law, we are authorized to enter into securities transactions. Should only one person execute this agreement, it shall be a representation that the signer is the sole trustee.
- The Trust has not been revoked, modified, or amended in any manner that would cause the statements contained in this trust certification to be incorrect.
- The Trust exists under applicable state laws.



**ALL TRUSTEES MUST SIGN IN THE PRESENCE OF A NOTARY PUBLIC**

<b>Signature(s) and Date(s) Required</b>	
Trustee	Date (mm/dd/yyyy)
 X	
Print Name of Trustee	
Co-trustee	Date (mm/dd/yyyy)
 X	
Print Name of Co-trustee	

For more signatures, copy this page, sign, and enclose. 

I, \_\_\_\_\_, a notary public in  
 \_\_\_\_\_ jurisdiction, do hereby certify that  
 \_\_\_\_\_ did personally appear before  
 me and did acknowledge that he or she executed the foregoing document  
 as his or her free act and deed. Sworn to and subscribed before me,  
 this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_.

<b>Notary Public</b>	<b>Notary Public Stamp</b>
<b>My Commission Expires</b>	

T. Rowe Price Brokerage is a division of T. Rowe Price Investment Services, Inc., member FINRA/SIPC. Accounts are carried by Pershing LLC, a BNY Mellon company, member NYSE/FINRA/SIPC.

